

# Stocks and Shares ISA Provider

## Transfer Authority Form



### Section 1: Existing Stocks and Shares ISA provider

To: The Manager

Name & Address

Postcode

### Section 2: Your personal details and details of your current Stocks and Shares ISA

Title (Mr/Miss/Ms/Mrs/Mx)

Surname

First name

Any other name(s)

Date of birth (DD/MM/YYYY)

/   /

Address - Please enter your full permanent address

National Insurance Number

Existing Stocks and Shares ISA account number

As well as being subject to the terms and conditions of the Skipton Cash/Lifetime ISA receiving the transfer proceeds, the transfer will be undertaken subject to the terms and conditions applying to your Stocks and Shares ISA. For example:

- Some Stocks and Shares ISA products do not allow only part of an ISA to be transferred, or may place conditions on how such a transfer is undertaken, e.g. when deciding which investments are sold to facilitate the transfer.
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead.
- There may be outstanding fees to pay before the transfer goes ahead.

If you are not sure what conditions apply please check with your existing Stocks and Shares ISA provider before completing this form.

1. Are you transferring into a Cash ISA or a Lifetime ISA? Cash  Lifetime

If you answered Cash, please go to question 3

If you answered Lifetime, please go to question 2

2. Is the Stocks and Shares ISA you are transferring from, a Lifetime ISA? Yes  No

If you answered no, and you are transferring from a non-Lifetime Stocks and Shares ISA, this will be treated as a Lifetime ISA subscription. You can only transfer up to the £4,000 annual Lifetime ISA subscription limit.

3. Do you wish to transfer all or part of your existing Stocks and Shares ISA? All  Part

If you answered 'All' to Q3 this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to Skipton Building Society in its entirety.

4. Have you subscribed to this Stocks and Shares ISA in this current tax year? Yes  No

If you have answered 'Yes' to Q4 please confirm the amount you have subscribed this year. Amount

£

If you have answered 'All' to Q3 please go directly to **Section 4** Customer Authorisation.

If you have answered 'Part' to Q3 please go directly to **Section 3** below.

Continued overleaf

## Section 3a: Partial Transfer Details

Please note you do not need to complete this section if you are transferring your Stocks and Shares ISA in full.

5. If you answered 'Yes' to Q4 above do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year)? Yes  No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

6. If you only want to transfer your subscriptions from the current tax year, tick here

**OR**

If you only want to transfer all of your subscriptions from previous tax years, tick here

**OR**

If you only want to transfer part of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you answered 'Yes' to Q4 above) tick here and provide sale instructions by completing the details below.

7. **Stocks, Shares and / or Funds that you wish to sell**

Please check your selection is permitted by your existing Stocks and Shares ISA provider prior to submitting this Transfer Authority form. Please note that you may be contacted by your existing ISA provider to agree what to sell.

Please list all of the Stocks, Shares and / Funds that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash/Lifetime ISA with Skipton Building Society .

Please use the box overleaf to give instructions to your current Stocks and Shares ISA provider with regard to what specific value or Tax Year(s) should be transferred and/or what investments should be realised to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer the proceeds to your new Cash/Lifetime ISA with Skipton Building Society. Alternatively, you may provide this information by supplying a separate list, e.g. by annotating a valuation statement from your provider

Description of Investment	Investment Indicator e.g. ISIN, Sedol, Cuip etc. (if known)	Number or Value of Stocks, Shares, Units or specify "All" to sell the entire holding

## Section 3b: Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your Stocks and Shares ISA you want to transfer £  ,  ,

**OR**

If you want to transfer all of your uninvested cash balance, tick here

## Section 4: Customer Authorisation

Please arrange to transfer my Stocks and Shares ISA to Skipton Building Society as detailed below:

Skipton Building Society  
Investment Operations  
The Bailey, Skipton  
North Yorkshire  
BD23 1DN

In all cases, you will need to complete the appropriate Transfer Application Form and provide identification if you are not an existing customer with Skipton Building Society. If the funds are to be credited to an existing cash/Lifetime ISA with Skipton Building Society, please quote your Skipton account number.

Existing Skipton account numbers


## Section 5: ISA Declarations

I authorise my existing ISA provider as specified above to sell investments and transfer the ISA in cash (account number above) to Skipton Building Society.

I authorise my existing ISA provider to provide Skipton Building Society with any information, written or non-written, concerning the Stocks and Shares ISA and to accept any instruction from them relating to the Stocks and Shares ISA being transferred.

Where I must give notice to close or transfer part of the existing Stocks and Shares ISA, or the existing Stocks and Shares ISA contains an investment which is designed and intended to be held for full term and has yet to reach redemption, I instruct my existing ISA provider to either: (tick the appropriate box)

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant)   
before going ahead with this transfer.

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible -   
I accept any consequential loss of income, capital or charges that may be applied.

## Customer Signature

Date of signature (DD/MM/YY)

<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>	/	<input type="text"/>	<input type="text"/>
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### Transfer acceptance

Skipton Building Society are willing to accept this ISA transfer in line with the instructions above, as long as the following conditions have been met.

- The transfer proceeds are made up of cash deposits only
- We must receive the transfer proceeds no later than 30 days from the date on this form.
- Where you want to transfer subscriptions from the current tax year, these must not be more than the amount shown in Q4 overleaf.
- Please note we do not accept residual income payments

For the purposes of the transfer of the ISA wrapper under the ISA regulations, the date shown above will be the transfer date.

**Please return this form with your application form to the address below in order for us to arrange the transfer of funds:  
Customer Delivery, Skipton Building Society, Skipton, North Yorkshire, BD23 1DN**

Call in **branch** | Talk to us today **0345 850 1722** | Visit **skipton.co.uk**



Skipton Building Society is a member of the Building Societies Association. Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority, under registration number 153706, for accepting deposits, advising on and arranging mortgages and providing Restricted financial advice. Principal Office, The Bailey, Skipton, North Yorkshire BD23 1DN.